Common Stock

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FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

washington, D.C. 2004s

L	OMB APPROVAL											
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Thompson Amanda J</u>				2. Issuer Name <b>and</b> Ticker or Trading Symbol MATTEL INC /DE/ [ MAT ]							tionship of Reporting all applicable) Director	10%	Owner		
(Last) 333 CONTINEN	(First)	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 09/29/2019						X	Officer (give title below)  EVP & Chief	Other (specify below)  People Officer			
(Street)				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)					
EL SEGUNDO CA 90245									X	X Form filed by One Reporting Person					
(City)	(State)	(Zip)									Form filed by Mor Person	e than One Rep	orting		
		Table I - No	n-Derivative S	Securities Acq	uired	, Dis	posed of,	or Ber	neficia	lly (	Owned				
Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)  4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4)		. 3, 4 and	1 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)					

Code v

M

F

M

M

F

09/29/2019

09/29/2019

09/29/2019

09/29/2019

09/29/2019

09/29/2019

(A) or (D)

Α

D

A

D

A

D

Price

(1)

\$11,255

(3)

\$11.255

\$11.255

(Instr. 3 and 4)

34,508

30,084

37,545

34,964

42,425

39,826

D

D

D

D

D

D

Amount

12,791

4,424(2)

7,461

2,581(4)

7,461

2,599(5)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(c.g., pane, cane, manual, opinion, community														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Sec Acq (A) ( Disp of (I	umber ivative urities juired or oosed O) (Instr. and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	09/29/2019		M			12,791	(1)	(1)	Common Stock	12,791	\$0	13,179	D	
Restricted Stock Units	(3)	09/29/2019		M			7,461	(3)	(3)	Common Stock	7,461	\$0	7,688	D	
Restricted Stock Units	(3)	09/29/2019		M			7,461	(3)	(3)	Common Stock	7,461	\$0	7,688	D	

#### **Explanation of Responses:**

- 1. As reported on a Form 4 dated September 29, 2017 and filed on October 3, 2017, the Reporting Person received a grant of 38,760 Restricted Stock Units ("RSUs" or "Units") on September 29, 2017. The RSUs vest as to (a) 33% of the Units granted on the first anniversary of the date of grant, and (c) the remaining 34% of the Units granted on the third anniversary of the date of grant. On each vesting date, for each Unit vesting on such date, the Reporting Person will receive one share of Mattel, Inc. Common Stock, subject to tax withholding. On September 29, 2019, the second 33% of these RSUs vested, resulting in the issuance of 12,791 shares of Mattel, Inc. Common Stock.
- 2. Pursuant to the terms of the September 29, 2017 RSU grant, 4,424 shares of Mattel, Inc. Common Stock were automatically withheld at vesting to cover required tax withholding.
- 3. As reported on a Form 4 dated September 29, 2017 and filed on October 3, 2017, the Reporting Person received a grant of 22,610 RSUs on September 29, 2017. The RSUs vest as to (a) 33% of the Units granted on the first anniversary of the date of grant, (b) an additional 33% of the Units granted on the second anniversary of the date of grant, and (c) the remaining 34% of the Units granted on the third anniversary of the date of grant. On each vesting date, for each Unit vesting on such date, the Reporting Person will receive one share of Mattel, Inc. Common Stock, subject to tax withholding. On September 29, 2019, the second 33% of these RSUs vested, resulting in the issuance of 7,461 shares of Mattel, Inc. Common Stock.
- 4. Pursuant to the terms of the September 29, 2017 RSU grant, 2,581 shares of Mattel, Inc. Common Stock were automatically withheld at vesting to cover required tax withholding.
- 5. Pursuant to the terms of the September 29, 2017 RSU grant, 2,599 shares of Mattel, Inc. Common Stock were automatically withheld at vesting to cover required tax withholding.

## Remarks:

/s/ Robert Efthimos, Attorneyin-Fact for Amanda J.

09/30/2019

**Thompson** 

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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